## Handouts - Download and Read!

http://www.chem.tamu.edu/rgroup/hughbanks/ courses/673/handouts/handouts.html

* translation_groups2.pdf
$\star$ translation_groups3.pdf
$\star$ transitions.pdf Provides background for selection rules. You do not need to memorize the derivation, but results in "boxes" are important to know!


## Complex Numbers; Digression

* Cartesian Forms
$\star$ The complex plane, vector forms
« polar representation of complex numbers and vectors


## Cyclic Groups

Consider $\mathrm{C}_{N^{\prime}}$, the cyclic group consisting of the operations $C_{N}, C_{N}{ }^{2}, C_{N}{ }^{3}, \ldots, C_{N}{ }^{N-1}, C_{N}{ }^{N}$ $=E$. Because all the operations are in the different classes, there are $N$ irreducible representations and they are all one dimensional. This means that the characters are the same as the matrices just numbers.

## Character Tables for Cyclic Groups

|  |  |  |  |  | $\varepsilon=\exp (2 \pi i / N)$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $C_{N}$ | $C_{N}{ }^{\mathbf{2}}$ | $C_{N}{ }^{\mathbf{3}}$ | $\cdots$ | $C_{N} \mathbf{N - 1}$ | $C_{N} \mathbf{N}=E$ |
| $\Gamma^{1}$ | $\varepsilon$ | $\varepsilon^{2}$ | $\varepsilon^{3}$ | $\cdots$ | $\varepsilon^{N-1}$ | $\varepsilon^{N}$ |
| $\Gamma^{2}$ | $\varepsilon^{2}$ | $\varepsilon^{4}$ | $\varepsilon^{6}$ | $\cdots$ | $\varepsilon^{2 N-2}$ | $\varepsilon^{2 N}$ |
| $\Gamma 3$ | $\varepsilon 3$ | $\varepsilon 6$ | $\varepsilon 9$ | $\cdots$ | $\varepsilon^{3 N-3}$ | $\varepsilon 3 N$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\ddots$ | $\vdots$ | $\vdots$ |
| $\Gamma^{N-1}$ | $\varepsilon^{N-1}$ | $\varepsilon^{2 N-2}$ | $\varepsilon^{3 N-3}$ | $\cdots$ | $\varepsilon^{-2}$ | $\varepsilon^{N(N-1)}$ |
| $\Gamma N$ | $\varepsilon^{N}$ | $\varepsilon^{2 N}$ | $\varepsilon^{3 N}$ | $\cdots$ | $\varepsilon^{-1}$ | $\varepsilon^{N^{2}}$ |

## Example

$\star$ Use the $C_{6}$ group to find the characters of the reducible representation obtained using the 6 carbon $p \pi$ orbitals of benzene as a basis then find the irred. reps. spanned by this rep.
$\star$ Draw the complex coefficients of the orbitals for each irreducible representation.
$\star$ Draw real counterparts of these orbitals.

| $C_{6}$ Group |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\boldsymbol{C}_{6}$ | $C_{6}$ | $C_{6}{ }^{2}$ | $C_{6}{ }^{3}$ | $C_{6}{ }^{4}$ | $C_{6}{ }^{5}$ | $C_{N}{ }^{6}=E$ |
| $\Gamma^{1}$ | $\varepsilon$ | $\varepsilon^{2}$ | $\varepsilon^{3}$ | $\varepsilon^{4}$ | $\varepsilon^{5}$ | $\varepsilon^{6}$ |
| $\Gamma^{2}$ | $\varepsilon^{2}$ | $\varepsilon^{4}$ | $\varepsilon^{6}$ | $\varepsilon^{8}$ | $\varepsilon^{10}$ | $\varepsilon^{12}$ |
| $\Gamma^{3}$ | $\varepsilon^{3}$ | $\varepsilon^{6}$ | $\varepsilon^{9}$ | $\varepsilon^{12}$ | $\varepsilon^{15}$ | $\varepsilon^{18}$ |
| $\Gamma^{4}$ | $\varepsilon^{4}$ | $\varepsilon^{8}$ | $\varepsilon^{12}$ | $\varepsilon^{16}$ | $\varepsilon^{20}$ | $\varepsilon^{24}$ |
| $\Gamma^{5}$ | $\varepsilon^{5}$ | $\varepsilon^{10}$ | $\varepsilon^{15}$ | $\varepsilon^{20}$ | $\varepsilon^{25}$ | $\varepsilon^{30}$ |
| $\Gamma^{6}$ | $\varepsilon^{6}$ | $\varepsilon^{12}$ | $\varepsilon^{18}$ | $\varepsilon^{24}$ | $\varepsilon^{30}$ | $\varepsilon^{36}$ |
|  |  |  |  |  |  |  |
| $C_{6}$ | $E$ | $C_{6}$ | $C_{6}{ }^{2}$ | $C_{6}{ }^{3}$ | $C_{6}{ }^{4}$ | $C_{N}{ }^{5}$ |
| $\Gamma^{0}=\Gamma^{6}$ | 1 | 1 | 1 | 1 | 1 | 1 |
| $\Gamma^{1}$ | 1 | $\varepsilon$ | $\varepsilon^{2}$ | $\varepsilon^{3}$ | $\varepsilon^{4}$ | $\varepsilon^{5}$ |
| $\Gamma^{2}$ | 1 | $\varepsilon^{2}$ | $\varepsilon^{4}$ | $\varepsilon^{6}$ | $\varepsilon^{8}$ | $\varepsilon^{10}$ |
| $\Gamma^{3}$ | 1 | $\varepsilon^{3}$ | $\varepsilon^{6}$ | $\varepsilon^{9}$ | $\varepsilon^{12}$ | $\varepsilon^{15}$ |
| $\Gamma^{4}$ | 1 | $\varepsilon^{4}$ | $\varepsilon^{8}$ | $\varepsilon^{12}$ | $\varepsilon^{16}$ | $\varepsilon^{20}$ |
| $\Gamma^{5}$ | 1 | $\varepsilon^{5}$ | $\varepsilon^{10}$ | $\varepsilon^{15}$ | $\varepsilon^{20}$ | $\varepsilon^{25}$ |


| $\varepsilon=\exp (2 \pi i / 6)$ |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\boldsymbol{C}_{6}$ | $E$ | $C_{6}$ | $C_{6}{ }^{2}$ | $C_{6}{ }^{3}$ | $C_{6}{ }^{4}$ | $C_{6}{ }^{5}$ |
| $\Gamma^{0}=\Gamma^{6}$ | 1 | 1 | 1 | 1 | 1 | 1 |
| $\Gamma^{1}=E_{1}$ | 1 | $\varepsilon$ | $\varepsilon^{2}$ | -1 | $-\varepsilon$ | $-\varepsilon^{2}$ |
| $\Gamma^{2}=E_{2}$ | 1 | $\varepsilon^{2}$ | $-\varepsilon$ | 1 | $\varepsilon^{2}$ | $-\varepsilon$ |
| $\Gamma^{3}=B$ | 1 | -1 | 1 | -1 | 1 | -1 |
| $\Gamma^{4}=E_{2}$ | 1 | $\varepsilon^{2} *$ | $-\varepsilon^{*}$ | 1 | $\varepsilon^{2} *$ | $-\varepsilon^{*}$ |
| $\Gamma^{5}=E_{1}$ | 1 | $\varepsilon^{*}$ | $\varepsilon^{2} *$ | -1 | $-\varepsilon^{*}$ | $-\varepsilon^{2}{ }^{*}$ |
|  |  |  |  |  |  |  |
| Make the identification with |  |  |  |  |  |  |

Make the identification with chaacter tables in some books:

$$
\varepsilon^{3}=\varepsilon^{9}=\varepsilon^{15}=\varepsilon^{21}=-1, \varepsilon^{6}=\varepsilon^{12}=\varepsilon^{18}=\varepsilon^{24}=1
$$

## Translation Group (1-dimension)

$\star$ The one-dimensional translation group is just a particular cyclic group of order N . The trans-polyacetylene below is an example of a system with translational symmetry.


## 1-D Translation Group Char.

Table-Rearranged $\quad \varepsilon=\exp (2 \pi i / N)$

| $\mathrm{T}_{\mathrm{V}}$ | E | $t$ | $\mathrm{t}^{2}$ | ... | $\mathrm{t}^{\mathrm{N}-2}$ | $\mathbf{t}^{\mathrm{N}-1}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | ! | ! | ! | $\ldots$ | ! |  |
| $\Gamma^{-N / 2}$ | 1 | -1 | 1 | ... | 1 | -1 |
| $\bar{\Gamma}^{-\bar{N} / 2+1}$ | 1 | $-\varepsilon$ | $\varepsilon^{2}$ | ... | $\varepsilon^{-2}$ | $-\varepsilon^{-1}$ |
| $\Gamma^{-1}$ | : | $\varepsilon^{-1}$ | $\varepsilon^{-2}$ | $\ldots$ | $\varepsilon^{-(N-2)}$ | $\varepsilon^{-(N-1)}$ |
| $\Gamma^{0}$ | 1 | 1 | 1 | ... | 1 | 1 |
| $\Gamma^{1}$ | 1 | $\varepsilon^{1}$ | $\varepsilon^{2}$ | ... | $\varepsilon^{N-2}$ | $\varepsilon^{N-1}$ |
|  | ! | . | ! | ... |  |  |
| $\Gamma^{N / 2}$ | 1 | -1 | 1 | . | 1 | -1 |
| $\bar{\Gamma} \bar{\Gamma}^{\bar{N} / 2+1}$ | - | $-\bar{\varepsilon}$ | $\varepsilon^{2}$ | ... | $\varepsilon^{-2}$ | $-\varepsilon^{-1}$ |

## 1-D Translation Group Char. Table

This has the same appearance as the $\mathrm{C}_{\mathrm{N}}$ group's character table:

|  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\mathbf{t}$ | $\mathbf{t}^{2}$ | $\mathbf{t}^{3}$ | $\ldots$ | $\varepsilon=\exp (2 \pi i / N)$ |  |
| $\mathbf{t}^{N-1}$ | $\mathbf{t}^{\mathbf{N}}=E$ |  |  |  |  |  |
| $\Gamma^{1}$ | $\varepsilon$ | $\varepsilon^{2}$ | $\varepsilon^{3}$ | $\cdots$ | $\varepsilon^{N-1}$ | $\varepsilon^{N}$ |
| $\Gamma^{2}$ | $\varepsilon^{2}$ | $\varepsilon^{4}$ | $\varepsilon^{6}$ | $\cdots$ | $\varepsilon^{2 N-2}$ | $\varepsilon^{2 N}$ |
| $\Gamma^{3}$ | $\varepsilon^{3}$ | $\varepsilon^{6}$ | $\varepsilon^{9}$ | $\cdots$ | $\varepsilon^{3 N-3}$ | $\varepsilon^{3 N}$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\ddots$ | $\vdots$ | $\vdots$ |
| $\Gamma^{N-1}$ | $\varepsilon^{N-1}$ | $\varepsilon^{2 N-2}$ | $\varepsilon^{3 N-3}$ | $\cdots$ | $\varepsilon^{(N-1)^{2}}$ | $\varepsilon^{N(N-1)}$ |
| $\Gamma^{N}$ | $\varepsilon^{N}$ | $\varepsilon^{2 N}$ | $\varepsilon^{3 N}$ | $\cdots$ | $\varepsilon^{N(N-1)}$ | $\varepsilon^{N^{2}}$ |

## Character Table for $\boldsymbol{T}_{\mathrm{N}}$, rewritten

$\star$ All the IRs of $\boldsymbol{T}_{\mathrm{N}}$ have the form:

| $\varepsilon=e^{2 \pi i / N}$ | $\mathbf{t}$ | $\mathbf{t}^{\mathbf{2}}$ | $\mathbf{t}^{\mathbf{3}}$ | $\cdots$ | $\mathbf{t}^{\mathbf{N}-\mathbf{1}}$ | $\mathbf{t}^{\mathbf{N}}=E$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\Gamma^{j}$ | $\varepsilon^{j}$ | $\varepsilon^{2 j}$ | $\varepsilon^{3 j}$ | $\cdots$ | $\varepsilon^{-j}$ | 1 |
| $-N / 2+1<j<N / 2$ |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

We make the substitution $k=\left(\frac{1}{a}\right) \times\left(\frac{j}{N}\right) ; \quad$ where $-\frac{1}{2 a}<k \leq \frac{1}{2 a}$
Making the substitution, $\varepsilon^{j}=\left(e^{2 \pi i / N}\right)^{j}=e^{2 \pi i k a}$. This is rewritten to yield

|  | $E$ | $\mathbf{t}$ | $\mathbf{t}^{2}$ | $\mathbf{t}^{\mathbf{3}}$ | $\cdots$ | $\mathbf{t}^{\mathrm{N}-\mathbf{1}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\Gamma(k)$ | 1 | $e^{2 \pi i(k \cdot a)}$ | $e^{2 \pi i(k \cdot 2 a)}$ | $e^{2 \pi i(k \cdot 3 a)}$ | $\cdots$ | $e^{-2 \pi i(k \cdot a)}$ |



## Examples

$\star$ Find the characters of the reducible representation obtained using the N hydrogen 1 s orbitals of a hypothetical H -atom chain (with N atoms) as a basis - then find the irreducible reps. spanned by this rep.
$\star$ Follow the same procedure (i) using the longitudinal stretching vectors as a basis, (ii) using the transverse stretching vectors as a basis, (iii) using $p_{\sigma}$ orbitals as a basis.

## 1-D s-band Dispersion curve




The tetracyanoplatinates crystallize such that square planar $\operatorname{Pt}(\mathrm{CN}) 4^{x-2}$ species stack upon each other as indicated in the illustration below. (Steric factors cause the square planar ions to stack in a staggered fashion, but we'll proceed as if the stacking is eclipsed, i.e., as if there is just one $\operatorname{Pt}(\mathrm{CN})_{4}{ }^{x-}$ ion per unit cell.) Pt-Pt distances are markedly shortened (from $3.48 \AA$ to $2.88 \AA$ ) when the platinum is oxidized by reaction with $\mathrm{Br}_{2}$ - that results in the intercalation of some additional bromide ions ( $\mathrm{Br}^{-}$) into voids between the chains in the solid state structure.

## $\left[\mathrm{Pt}(\mathrm{CN})_{4}\right]^{-2+x}$

Consider only the largest Pt-Pt $\sigma$ overlaps involving the $5 d_{z^{2}}$ orbital (occupied for this $d^{8}$ complex) and the $6 p_{z}$ orbital (a highlying unoccupied orbital that is stabilized to some extent by overlap with the $\mathrm{CN} \pi^{*}$ orbitals).

Set up the $2 \times 2 k$-dependent Hückel-like secular equation and solve it to obtain analytical $k$-dependent expressions for each of the two band curves. Draw a one-dimensional band dispersion diagram that includes bands that derive from the $5 d_{z^{2}}$ and the $6 p_{z}$ orbitals. Use these parameters:

$$
\begin{gathered}
\alpha_{p}-\alpha_{d}=8|\beta| \quad ; \quad \beta=-1 \\
\beta_{d d}=\beta \quad \beta_{p p}=2 \beta \quad \beta_{d p}=1.5 \beta
\end{gathered}
$$

Mark the Fermi levels for both systems. Explain why the Pt-Pt distances shrink upon oxidation. Show the lowest energy allowed optical transitions for both systems.

$$
\left|\begin{array}{cc}
\alpha_{d}+\left(e^{2 \pi i k \cdot a}+e^{2 \pi i k \cdot-a}\right) \beta-E & e^{2 \pi i k \cdot a}(-1.5 \beta)+e^{2 \pi i k \cdot-a}(1.5 \beta) \\
e^{2 \pi i k \cdot-a}(-1.5 \beta)+e^{2 \pi i k \cdot a}(1.5 \beta) & \alpha_{p}+\left(e^{2 \pi i k \cdot a}+e^{2 \pi i k \cdot-a}\right)(-2 \beta)-E
\end{array}\right|=0
$$

$\left|\begin{array}{cc}2 \beta \cos 2 \pi k a-E & -3 i \beta \sin 2 \pi k a \\ 3 i \beta \sin 2 \pi k a & -8 \beta-4 \beta \cos 2 \pi k a-E\end{array}\right|=0$
for convenience let $\beta=-1$

$$
\left|\begin{array}{cc}
-2 \cos 2 \pi k a-E & 3 i \sin 2 \pi k a \\
-3 i \sin 2 \pi k a & 8+4 \cos 2 \pi k a-E
\end{array}\right|=0
$$

$(E+2 \cos 2 \pi k a)[E-(8+4 \cos 2 \pi k a)]-9 \sin ^{2} 2 \pi k a=0$
$E=4+\cos 2 \pi k a \pm \sqrt{25+24 \cos 2 \pi k a}$
$\left[\mathrm{Pt}(\mathrm{CN})_{4}\right]^{-2+x}$

$\left[\mathrm{Pt}(\mathrm{CN})_{4}\right]^{-2+x}$


## 2-dimensional Layers

* Bloch's Theorem in 2- or 3-D

$$
\begin{array}{ll}
\varphi_{\mathbf{k}}(\mathbf{r}+\mathbf{R})=e^{2 \pi i \mathbf{k} \cdot \mathbf{R}} \varphi_{\mathbf{k}}(\mathbf{r}) \quad & \mathbf{R}=u \mathbf{a}+v \mathbf{b}+w \mathbf{c} \\
& \mathbf{k}=k_{a} \mathbf{a}^{*}+k_{a} \mathbf{b}^{*}+k_{k} \mathbf{c}^{*} \\
& \mathbf{k} \cdot \mathbf{R}=k_{a} u+k_{b} v+k_{c} w
\end{array}
$$

- Orbitals and bands for a square net of Hydrogen atoms
- Orbitals and bands for graphite


## Selection Rules for Crystals: Vertical Transitions

Intensity, $\mathrm{I} \propto\left[\int \psi_{i} * \mathcal{H}^{\prime}(t) \psi_{f} d \tau\right]^{2}$
where $\mathcal{H}^{\prime}(t)$ is the perturbation of the molecule (solid) caused by the electric field of the radiation, and the electromagnetic wave propagating in the $z$-direction

$\mathcal{H}^{\prime}(t)=-\frac{E_{x}^{0}}{2} \sum_{i} q_{i} x_{i}\left\{e^{2 \pi i k_{\text {photon }} z_{i}} e^{-i \omega t}+e^{-2 \pi i k_{\text {phooon }} z_{i}} e^{i \omega t}\right\}$
(See Eqs. $16 \& 17$ in Handout on "Transitions Between Stationary States")
$\psi_{i} \propto u_{\mathbf{k}}(\mathbf{r}) e^{2 \pi i \mathbf{k}_{i} \cdot \mathbf{r}} \quad$ Important terms to consider $\sim \int e^{i \omega t} e^{2 \pi i\left(\mathbf{k}_{f}-\mathbf{k}_{i}+\mathbf{k}_{\text {photoon }}\right) \mathbf{r}} d \tau$
$\psi_{f} \propto u_{\mathbf{k}}(\mathbf{r}) e^{2 \pi \mathbf{k}_{f} \cdot r} \quad \mathrm{I}=0 \quad$ unless $\quad \mathbf{k}_{f}-\mathbf{k}_{i}+\mathbf{k}_{\text {photon }}=0$
but $\left|\mathbf{k}_{\text {photon }}\right| \ll\left|\mathbf{k}_{f}\right|,\left|\mathbf{k}_{i}\right|\left(\lambda_{\text {photon }} \gg \lambda_{f}, \lambda_{i}\right), \therefore$ transition forbidden unless $\mathbf{k}_{f}-\mathbf{k}_{i} \simeq 0$
Allowed transitions are vertical, $\mathbf{k}_{f} \simeq \mathbf{k}_{i}$



## 2-D Graphite (Graphene) $\pi$ Bands




## Densities of States for 1D, 2-D, 3-D



