

Chem 634 T. Hughbanks

References for this Topic

- Clegg, "Crystal Structure Determination".
- Stout & Jensen, "X-Ray Structure Determination", 2nd Edition. Instrumentation discussion is completely outdated, but still a good text on the subject.
- ✿ A more authoritative general reference: Giacovazzo, et al., "Fundamentals of Crystallography", IUCr Texts on Crystallography.
- MIT has a good site (MIT Open Courseware): <u>http://ocw.mit.edu/OcwWeb/Chemistry/</u>5-841Fall-2006/LectureNotes/index.htm

Bravais Lattices

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- Direct Lattice:
 - A regular, periodic array of points with a spacing commensurate with the unit cell dimensions. The environment around each points in a lattice is identical.
- The set of direct lattice points can be written as (vectors): R = ta + ub + vc t, u, v integers
- *V* = volume of unit cell

$$V = \mathbf{a} \cdot (\mathbf{b} \times \mathbf{c}) =$$

$$\mathbf{b} \cdot (\mathbf{c} \times \mathbf{a}) = \mathbf{c} \cdot (\mathbf{a} \times \mathbf{b})$$

Reciprocal Lattices

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• <u>Reciprocal Lattice</u>: the basis vectors of the reciprocal lattice are defined as

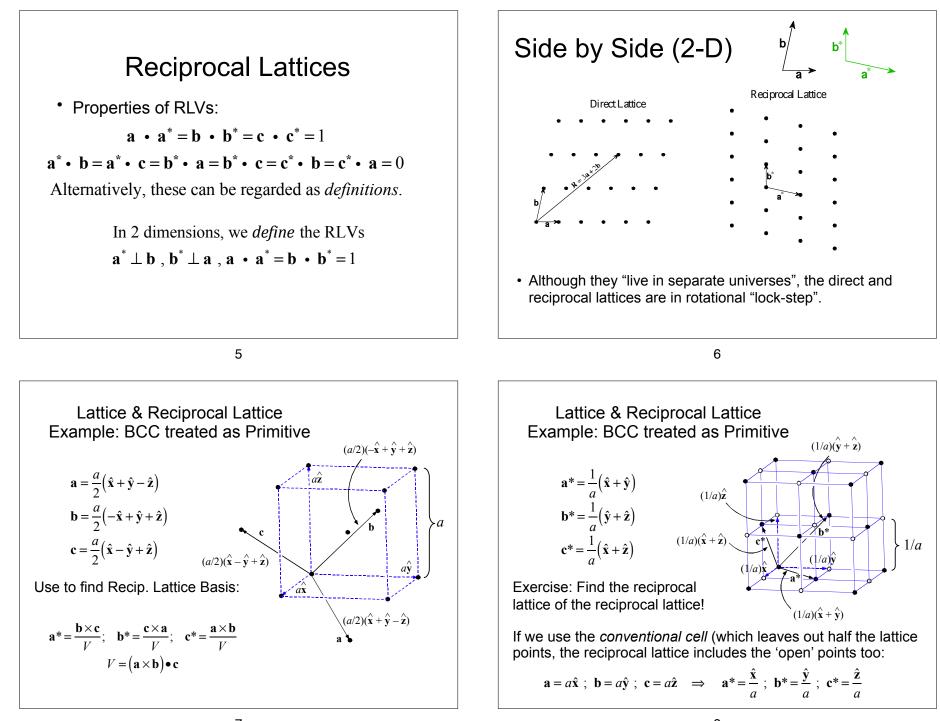
$$\mathbf{a}^* = \frac{\mathbf{b} \times \mathbf{c}}{V}; \quad \mathbf{b}^* = \frac{\mathbf{c} \times \mathbf{a}}{V}; \quad \mathbf{c}^* = \frac{\mathbf{a} \times \mathbf{b}}{V}; \quad V = (\mathbf{a} \times \mathbf{b}) \bullet \mathbf{c}$$

(Note: In physics texts, a factor of 2π is usually included

e.g.,
$$\mathbf{a}^* = 2\pi \frac{\mathbf{b} \times \mathbf{c}}{V}; \cdots$$

• The set of Reciprocal Lattice Vectors (RLVs) are written as $\mathbf{K}_{i} = h\mathbf{a}^{*} + k\mathbf{b}^{*} + l\mathbf{c}^{*}$

h, k, l =integers

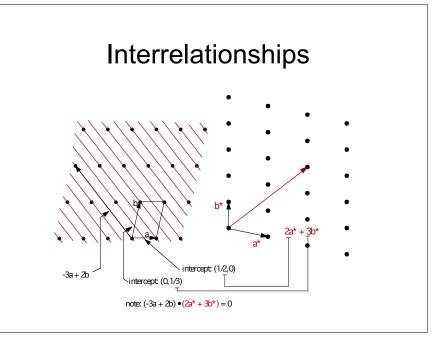


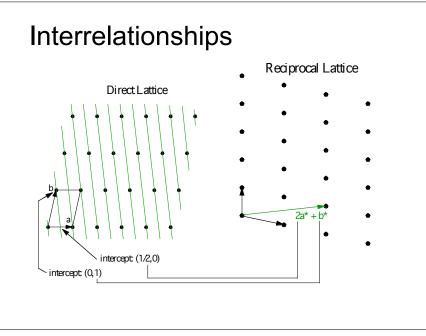
Interrelationships

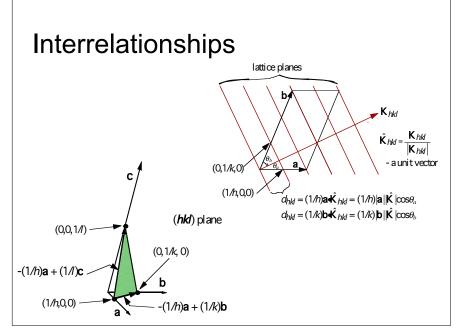
- The direct lattice can be partitioned such that all of the lattice points lie on sets of planes. Crystallographers classify these sets of planes using the intercepts on the unit cell axes cut by the plane adjacent to the plane through the origin.
- The intercepts are of the form (1/h, 0, 0), (0,1/k, 0), and (0,0,1/l).
- These planes are normal to the RLVs

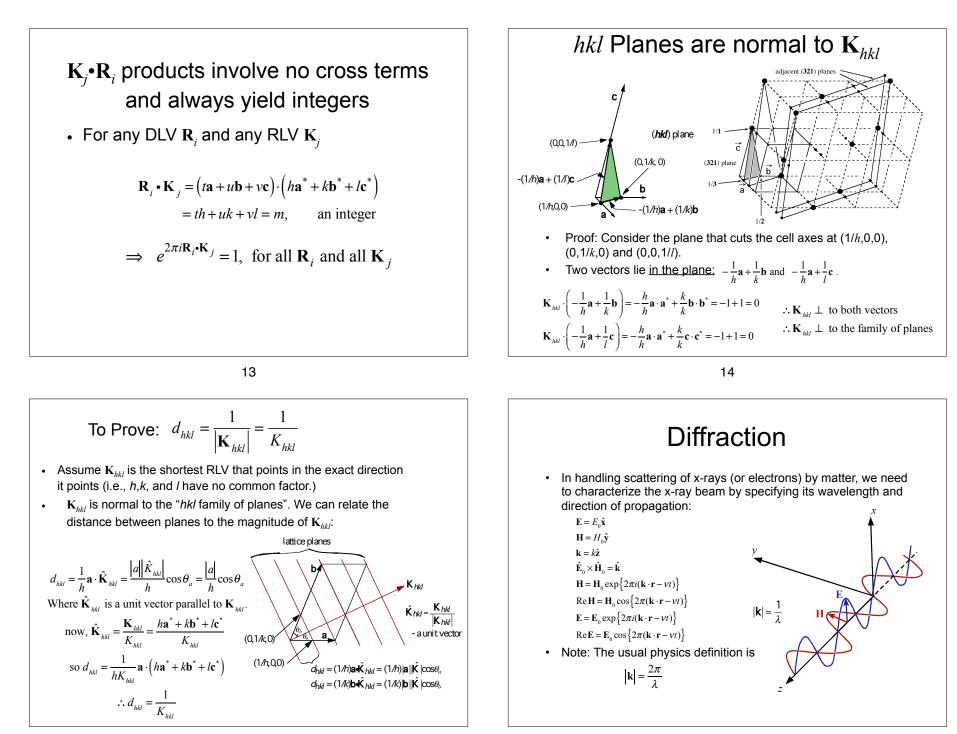
$$\mathbf{K}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$$

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Additional Comments

 In order to speak of the localization of an electron (or photon), we must 'blur' the specification of the electron's (photon's) wavelength, and allow that there is some uncertainty in the wavelength (and frequency and energy):

$$E_k(z,t) = \int_{-\infty}^{\infty} E_0(k') \exp\left\{2\pi i(k'z - vt)\right\} dk'$$

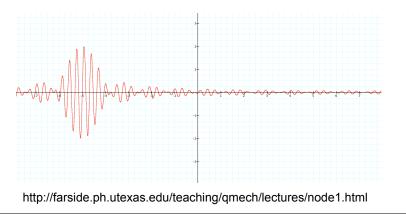
where we have assumed, for simplicity, that the wave is propagating along the *z*-axis and $E_0(k)$ is a function strongly peaked near a particular 'approximate' wavenumber *k*.

• Electrons and photons are different in that wave packets for electrons spread out over time, while photon wave packets retain their width as they propagate. For more details, see:

http://farside.ph.utexas.edu/teaching/qmech/lectures/node1.html

Traveling wave packet

• By "adding" up a narrow range of frequencies, a "wave packet" can be constructed and a photon visualized.



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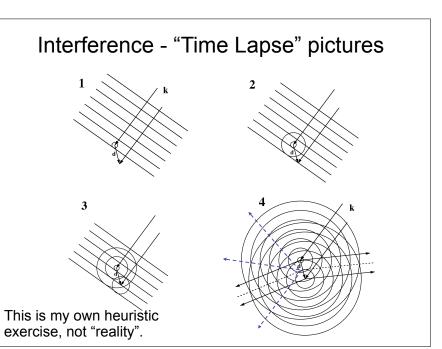
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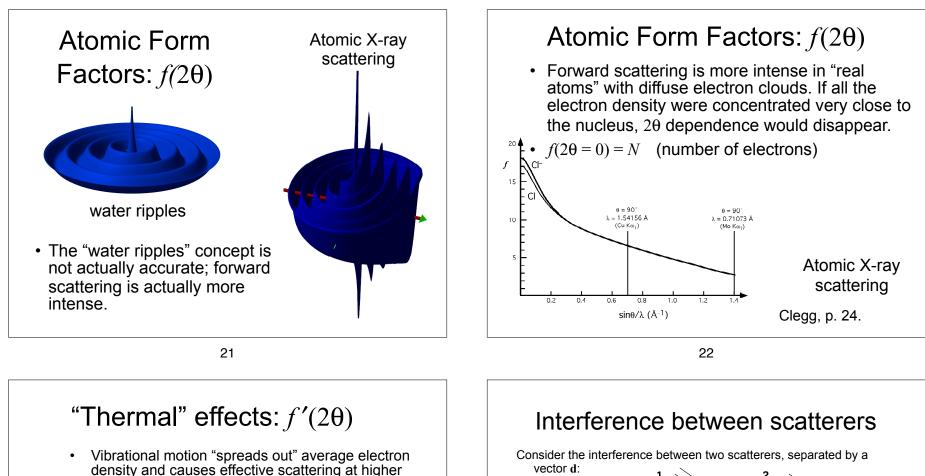
Elastic Scattering

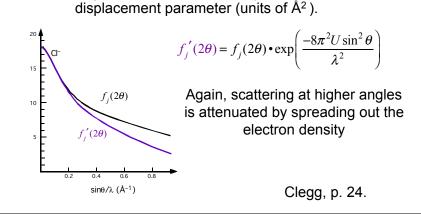
• When an x-ray is scattered by an atom it may emerge in any direction, but unless absorption occurs, it has the same wavelength:

$$\left|\mathbf{k}\right| = \left|\mathbf{k}'\right| = \frac{1}{\lambda}$$

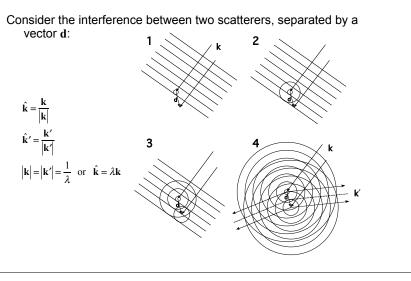
• X-ray diffraction for structure determination is concerned with interference effects that result from scattering by periodic arrays of atoms.

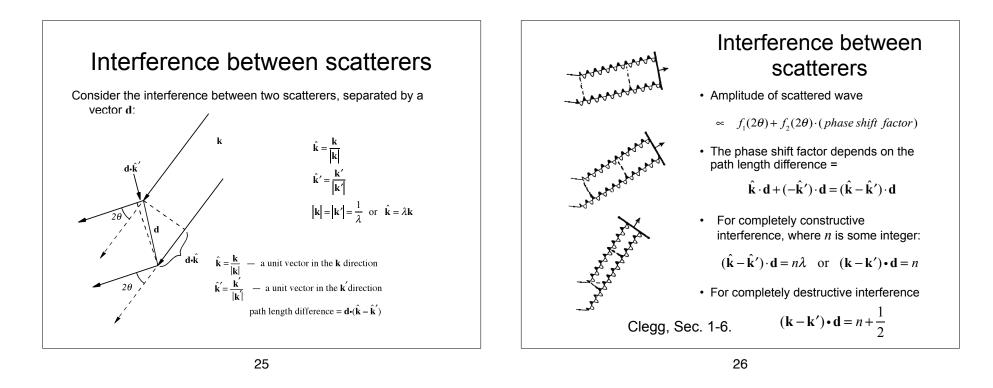


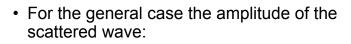




angles to fall off more rapidly; U is the isotropic





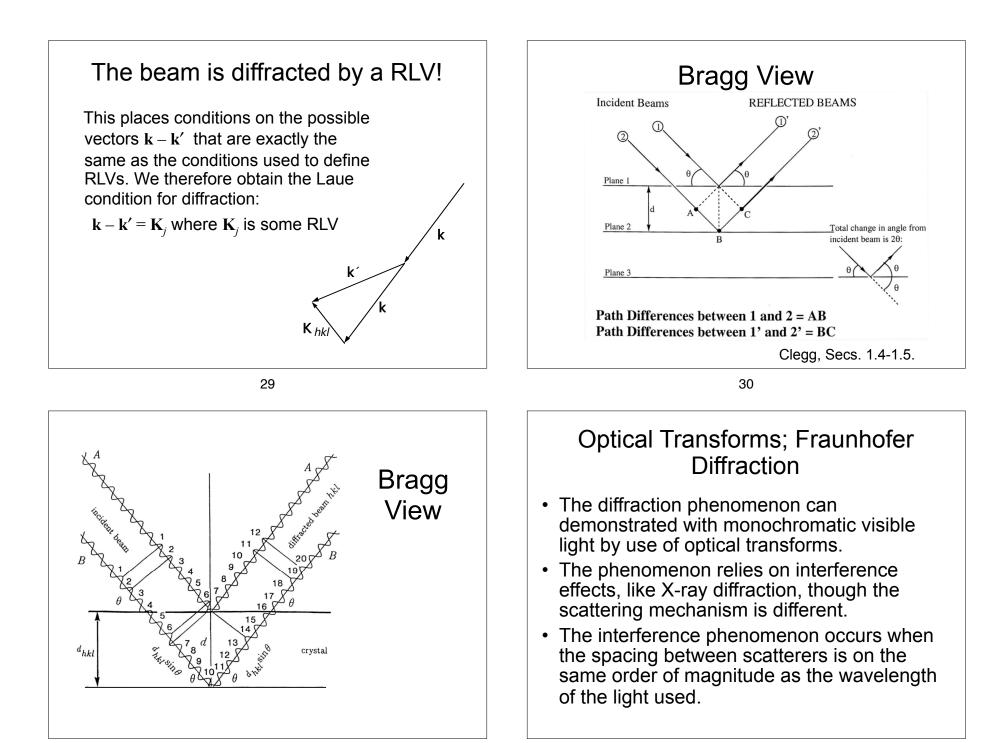


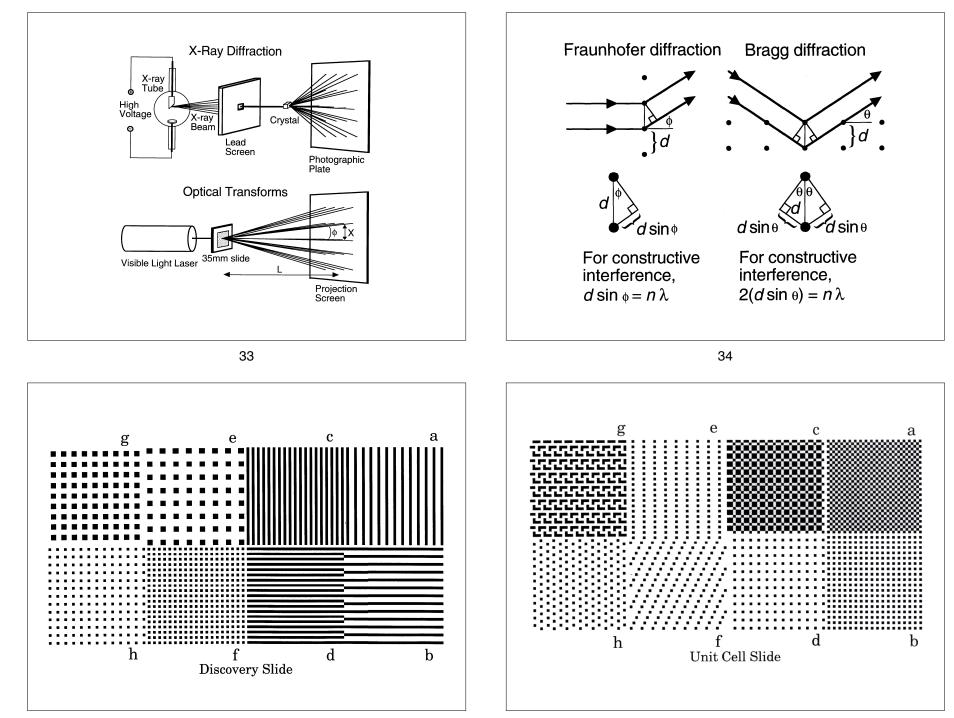
$$A \propto f_1(2\theta) + f_2(2\theta) \cos\left[2\pi(\mathbf{k} - \mathbf{k}') \cdot \mathbf{d}\right]$$
$$\cos\left[2\pi(\mathbf{k} - \mathbf{k}') \cdot \mathbf{d}\right] = 1 \text{ when } (\mathbf{k} - \mathbf{k}') \cdot \mathbf{d} = n$$
$$\cos\left[2\pi(\mathbf{k} - \mathbf{k}') \cdot \mathbf{d}\right] = -1 \text{ when } (\mathbf{k} - \mathbf{k}') \cdot \mathbf{d} = n + \frac{1}{2}$$
In general we use a complex form:
$$A \propto f_1(2\theta) + f_2(2\theta) \exp\left\{2\pi i(\mathbf{k} - \mathbf{k}') \cdot \mathbf{d}\right\}$$

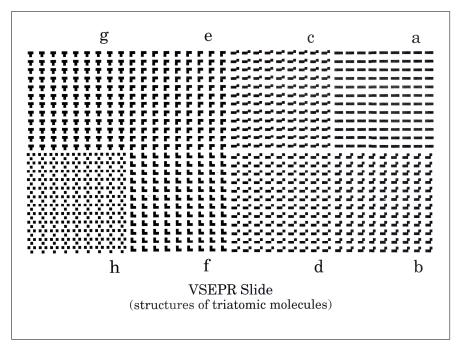
- In a crystal, all pairs of translationally equivalent atoms are separated by some direct Lattice Vector (R_i).
- ∴ If <u>all</u> atoms in the crystal that are related by translational symmetry are to scatter X-rays to give constructive interference simultaneously, we must have the following:

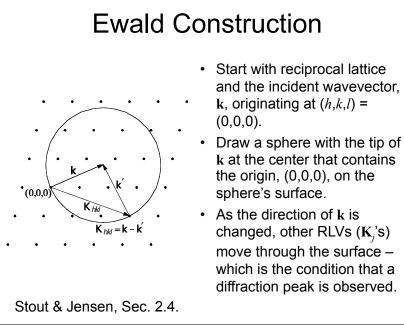
$$\mathbf{R}_i \bullet (\mathbf{k} - \mathbf{k'}) = n \text{ or } e^{2\pi i (\mathbf{k} - \mathbf{k'}) \bullet \mathbf{R}} = 1$$

where \mathbf{R}_i is any DLV.

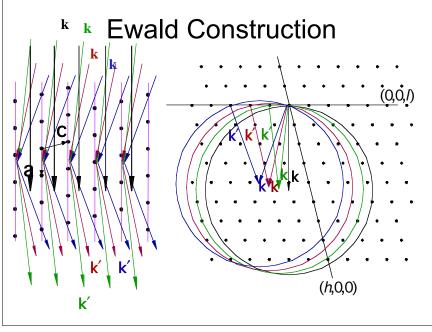


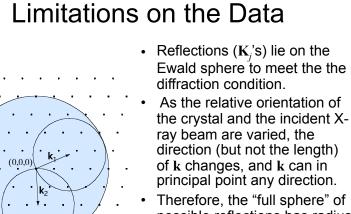




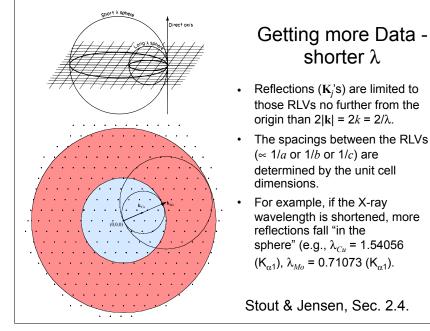


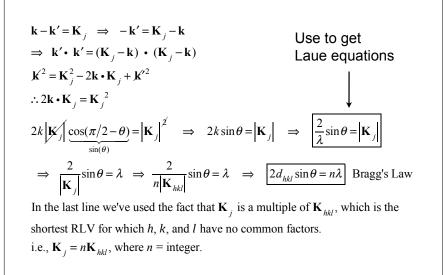






Therefore, the "full sphere" of possible reflections has radius $2|\mathbf{k}| = 2k = 2/\lambda$. The spacings between the RLVs (RL points) $\approx 1/a$ (or 1/b or 1/c).





Laue Equations

 $n\lambda = 2d_{hkl}\sin\theta;$

 $K_{hkl}^2 = (4/\lambda^2)\sin^2\theta$ where $\mathbf{K}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$ (***) or $[1/d^2] = (4/\lambda^2)\sin^2\theta$, since $K_{hkl}^2 = [1/d^2]$ The "Laue Equations" for each crystal class can be derived from the form (***).

Cubic

 $\overline{\sin^2 \theta} = A(h^2 + k^2 + l^2); \ A = \lambda^2/4a^2 \text{ or } [1/d^2] = (h^2 + k^2 + l^2)/a^2$

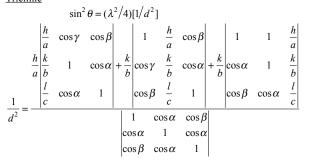
Tetragonal

 $\sin^2 \theta = A(h^2 + k^2) + Cl^2; \ A = \lambda^2/4a^2; \ C = \lambda^2/4c^2$ or $[1/d^2] = (h^2 + k^2)/a^2 + l^2/c^2$

<u>Hexagonal</u>

 $sin^{2} \theta = A(h^{2} + hk + k^{2}) + Cl^{2}; \ A = \lambda^{2}/3a^{2}; \ C = \lambda^{2}/4c^{2}$ or $[1/d^{2}] = 4(h^{2} + k^{2})/3a^{2} + l^{2}/c^{2}$ <u>Orthorhombic</u> $sin^{2} \theta = Ah^{2} + Bk^{2} + Cl^{2}; \ A = \lambda^{2}/4a^{2}; \ B = \lambda^{2}/4b^{2}; \ C = \lambda^{2}/4c^{2}$ or $[1/d^{2}] = h^{2}/a^{2} + k^{2}/b^{2} + l^{2}/c^{2}$

Laue Equations, cont.



Example

If a hexagonal crystal has a $(1\overline{10})$ reflection at $2\theta = 8.5^{\circ}$, at what 2θ angle would you expect to observe the (110) reflection?

Results

- The distances between lattice planes are on the order of lengths of unit cells (~10 Å)
- 50 Å ≥ d_{hkl} ≥ 0.5 Å for most "small molecule structures"

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Two most common sources:

$$λ_{Mo} = 0.71073 \text{ Å} (K_{\alpha 1})$$

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Neutron Diffraction

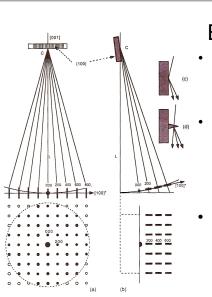
- In neutron diffraction, so-called *thermal* neutrons are usually used.
- Thermal neutrons have deBroglie wavelengths that are comparable to typical X-ray wavelengths:

 $\lambda_{Cu} = 1.5418 \text{ Å},$

 $\lambda_{Mo} = 0.71069 \text{ Å},$

$$\lambda_n = h/p_n = h[3m_nk_{\rm B}T]^{-1/2} = 1.45$$
 Å

- A major advantage of neutron diffraction is in the very different form factors, which have magnitudes that do not scale with atomic number (good for many light atoms, especially deuterium).
- Neutron spin (magnetic moment) can be exploited to probe magnetic ordering.



Electron Diffraction

- In high-energy electron diffraction, electrons with 100 keV kinetic energies are commonly used
- e-'s have short deBroglie wavelengths:

 $\lambda_{Cu} = 1.5418 \text{ Å},$

 $\lambda_{Mo} = 0.71069 \text{ Å},$

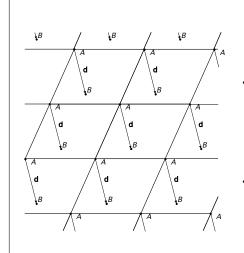
$$h_{e,100\text{keV}} = h/p = h[2m_e E]^{-1/2} = 0.0039 \text{ Å}$$

• Ewald sphere is very large in comparison with reciprocal lattice spacings. Therefore, a large section of any plane tangent to the Ewald sphere is effectively "on the sphere" at the same time.

Summary

- The geometrical aspects of a crystal (cell dimensions, translational symmetry, etc.) determine the direct lattice.
- The direct lattice, in turn, determines the reciprocal lattice.
- There is a one-to-one correspondence between the RLVs and the vectors through which the incident radiation is diffracted.
- The *geometry* of the diffraction pattern is determined by the cell dimensions and symmetry - no specific structural details beyond symmetry and dimensions of the unit cell affect the "*positions*" of diffraction peaks.

Multiple Atom Structures



- Within translationally related sets of atoms, the conditions for constructive interference will be satisfied simultaneously. However, different sets of atoms will generally diffract the X-ray with different phases.
- Example: For the "crystal" shown here, when the set of A atoms all scatter the X-ray constructively with respect to each other, the set of B atoms will also scatter the Xray constructively. But the the sets $\{A_i\}$ and $\{B_i\}$ will generally scatter with different phases.
- The resultant diffraction intensities will be determined by the sum of the diffraction amplitudes due to {A_i} and {B_i} - including the effect of different phases!

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How do data determine "Structures" ?

- The <u>positions</u> of diffraction peaks tell us only about the lattice parameters.
- The <u>intensities</u> of the peaks tell us about the nature and positions of the atoms within the unit cell.
- The central problem of crystallography is in working backwards from the peak intensities to locations and identities of atoms in the unit cell.

Easier: How do "Structures" determine data?

Structure Factors

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 With *n* atoms distributed throughout the unit cell, with fixed positions with respect to each other, scatter X-rays that produce interference patterns. Amplitude of scattered wave =

$$A \propto S_{\mathbf{K}} = \sum_{j=1}^{n} \underbrace{f'_{j}(\mathbf{K})}_{j} \underbrace{\exp\left\{2\pi i \mathbf{K} \cdot \mathbf{d}_{j}\right\}}_{\text{geometric factor}}$$

atomic form factor (determined by charge distribution of the jth atom)

The Structure Factor expression & Systematic Absences

 Symmetry operations place restrictions on how atoms in the cell must be distributed. (Physically, perhaps it is the other way around!)

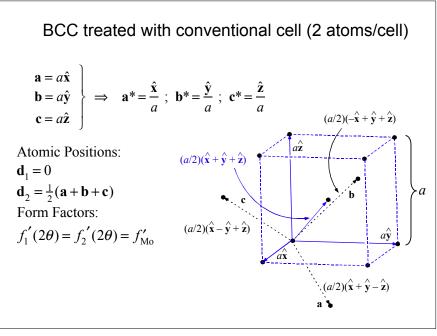
$$A \propto S_{\mathbf{K}} = \sum_{j=1}^{n} \underbrace{f'_{j}(2\theta)}_{\text{form factor}} \times \underbrace{\exp\left\{2\pi i \mathbf{K} \cdot \mathbf{d}_{j}\right\}}_{\text{geometric factor}}$$

 This means that there are symmetry relationships between the list of *f_i*'s and **d**_i's.

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Recall: BCC treated as Primitive $a = \frac{a}{2}(\hat{\mathbf{x}} + \hat{\mathbf{y}} - \hat{\mathbf{z}})$ $b = \frac{a}{2}(-\hat{\mathbf{x}} + \hat{\mathbf{y}} + \hat{\mathbf{z}})$ $c = \frac{a}{2}(\hat{\mathbf{x}} - \hat{\mathbf{y}} + \hat{\mathbf{z}})$ $c = \frac{a}{2}(\hat{\mathbf{x}} - \hat{\mathbf{y}} + \hat{\mathbf{z}})$ Use to find Recip. Lattice Basis: $a^* = \frac{\mathbf{b} \times \mathbf{c}}{V}; \quad \mathbf{b}^* = \frac{\mathbf{c} \times \mathbf{a}}{V}; \quad \mathbf{c}^* = \frac{\mathbf{a} \times \mathbf{b}}{V}$ $V = (\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}$

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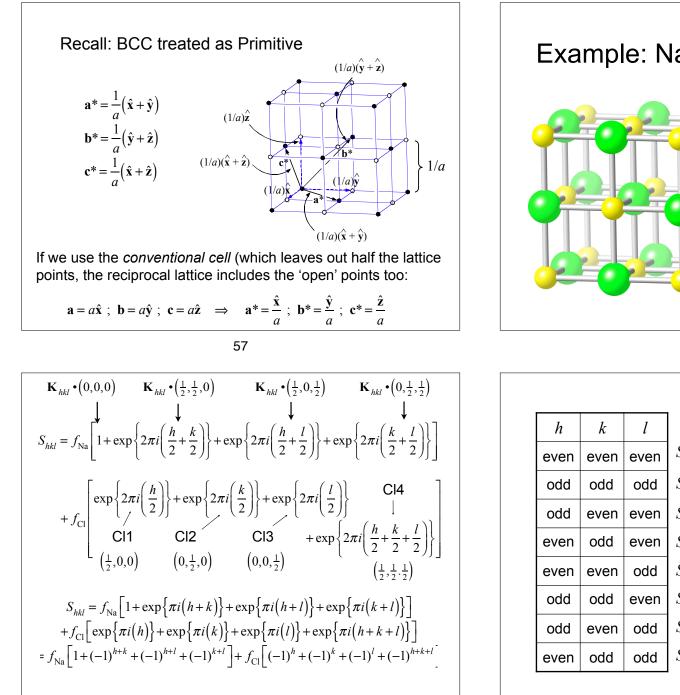
• All recip. Lattice vectors are of the form

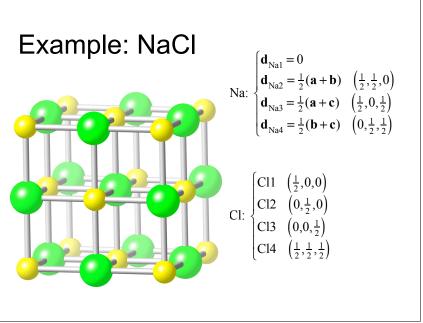
$$\mathbf{K}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$$

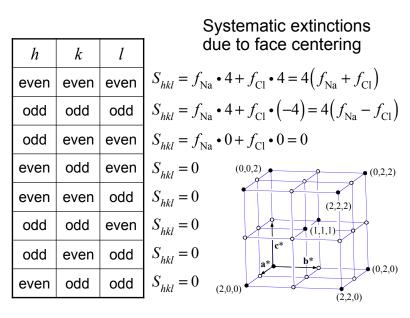
$$S_{hkl} = f_{MO} \times \left[\exp\{2\pi i(h \cdot 0 + k \cdot 0 + l \cdot 0\} + \exp\{2\pi i\left(\frac{h}{2} + \frac{k}{2} + \frac{l}{2}\right)\} \right]$$

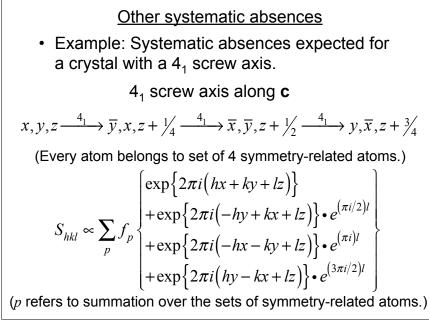
$$S_{hkl} = f_{MO} \times \left[1 + \exp\{\pi i(h + k + l)\}\right]$$
If $h + k + l =$
even no. : $\exp\{i\pi(h + k + l)\} = 1 \implies S_{hkl} = 2f_{MO}$
odd no. : $\exp\{i\pi(h + k + l)\} = -1 \implies S_{hkl} = 0$

: if h + k + l = odd, reflection will not be observed.









Other systematic absences

- Example: crystal with an *a*-glide \perp to a 4₁ axis.
 - (4₁ screw axis along \mathbf{c} , \therefore *a* glide || to the *ab*-plane)

$$\begin{aligned} x, y, z &\xrightarrow{a-glide} x + \frac{1}{2}, y, \overline{z} &\xrightarrow{a-glide} x + 1, y, z \\ S_{hkl} & \sim \sum_{p} f_{p} \begin{cases} \exp\left\{2\pi i \left(hx + ky + lz\right)\right\} \\ +\exp\left\{2\pi i \left(h \left(x + 1/2\right) + ky - lz\right)\right\} \end{cases} \end{aligned}$$

This will be zero when the term in brackets is zero:

$$\exp\{2\pi i (hx + ky + lz)\} = -\exp\{2\pi i (h(x + l/2) + ky - lz)\}$$
$$\exp\{2\pi i (lz)\} = -\exp\{2\pi i (h/2 - lz)\} = -e^{\pi i h} \exp\{2\pi i (-lz)\}$$
$$\exp\{2\pi i lz\} = -(-1)^{h} \exp\{-2\pi i lz\}$$

If l = 0, then absences occur where h = odd.

For *b*-glide \perp to **c**-axis, find absences where k = odd (l = 0).

Consider
$$h = k = 0$$

... and $l = \text{odd}$
 $S_{hkl} \propto \left(\sum_{p} f_{p}\right) \left\{ \exp(2\pi i l z) \right\} \cdot \left[1 + e^{\pi i/2} + e^{\pi i} + e^{3\pi i/2}\right] = 0$
 $1 \text{ st} 2 \text{ nd} 3 \text{ rd} 4 \text{ th}$
term term term term
... and $l = 2, 6, 10 \dots$
 $S_{hkl} \propto \left(\sum_{p} f_{p}\right) \left\{ \exp(2\pi i l z) \right\} \cdot \left[1 + e^{\pi i} + 1 + e^{\pi i}\right] = 0$
... and $l = 4, 8, 12 \dots$
 $S_{hkl} \propto \sim \left[1 + 1 + 1\right]$ (non zero)
For $h = k = 0$, only reflections with $l = 4, 8, 12 \dots$ will be observed.

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Symmetry of the Diffraction Pattern; Equivalent Reflections - Laue Symmetry

The pattern of single crystal diffraction "spots" is often referred to as the *intensity-weighted reciprocal space*. Including the intensities, what symmetries should the intensity-weighted reciprocal space exhibit?

In the absence of absorption and/or "anomalous scattering", reflections related to each other by inversion in reciprocal space, (*h*,*k*,*l*) and (-*h*,-*k*,-*l*), should be of equal intensity. This is called *Friedel's Law* and it applies *even for noncentrosymmetric space groups* (no inversion center).

The *Patterson symmetry* adds an inversion center if the space group is acentric. Therefore, in centrosymmetric cases, the Patterson symmetry is the same as the symmetry of the diffraction pattern.

Symmetry of the Diffraction Pattern; Equivalent Reflections - Laue Symmetry

Translation of a crystal leaves reciprocal space unaffected. Therefore, the translational parts of symmetry operations can be ignored in finding reflections that are expected to be equivalent.

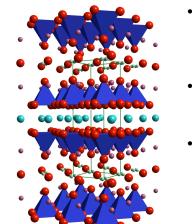
 Screw operations have same symmetry effect as simple rotational point group operations

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 Glide operations have the same symmetry effect as simple mirror planes

See: Table 11.4, p. 382 in Cotton.

 $TI_{(1+x)}BaSrCa_{(1-x)}Cu_2O_{(7-\delta)}$ Superconductor



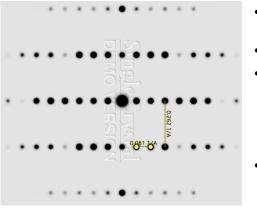
- Within the disorder model (x = 0.22), the space group is symmorphic: P4/mmm.
- The reciprocal lattice intensities reflect each symmetry operation
- No systematic absences

a = 3.8234 Å; c = 12.384 Å

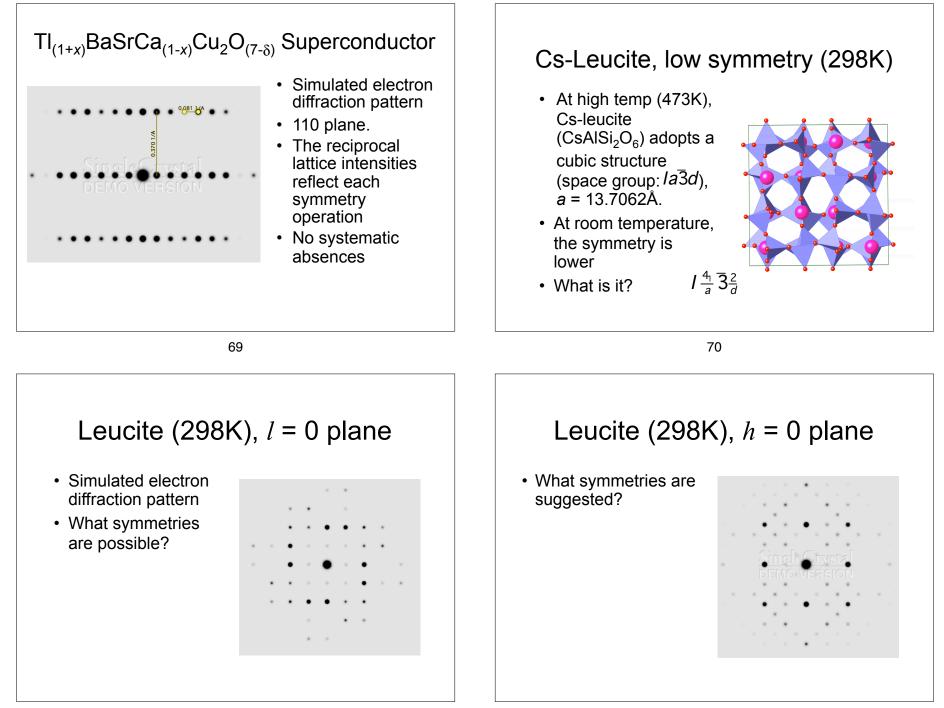
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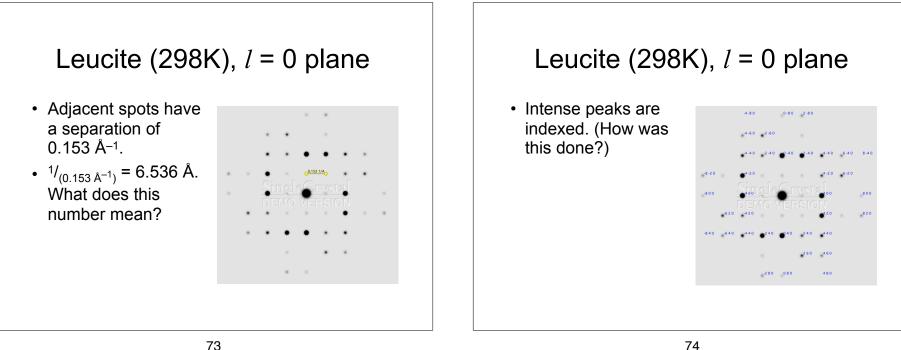
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 $TI_{(1+x)}BaSrCa_{(1-x)}Cu_2O_{(7-\delta)}$ Superconductor



- Simulated electron diffraction pattern
- 100 plane.
- The reciprocal lattice intensities reflect each symmetry operation
- No systematic absences

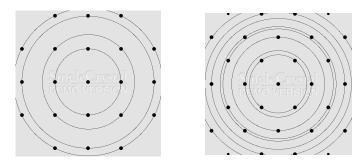




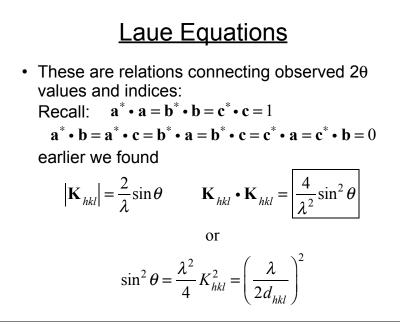
Powder Diffraction

- In principle, powder diffraction is nothing more than a 'spatially averaged' version of single-crystal diffraction.
- · Much of the rich spatial information one gathers in single-crystal data is lost in powder diffraction, only the 20 information remains.
- However, once (if) the cell can be inferred, powder diffraction data is still quite powerful.

NaCI: h = 0 and h = k planes



· The circles correspond to reflections on the same "cone", i.e., with the same 2θ values.



Cubic and Tetragonal - special cases of

• Any RLV is written as $\mathbf{K}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$ $\mathbf{K}_{hkl} \cdot \mathbf{K}_{hkl} = h^2\mathbf{a}^* \cdot \mathbf{a}^* + k^2\mathbf{b}^* \cdot \mathbf{b}^* + l^2\mathbf{c}^* \cdot \mathbf{c}^*$ $+ 2hk\mathbf{a}^* \cdot \mathbf{b}^* + 2hl\mathbf{a}^* \cdot \mathbf{c}^* + 2kl\mathbf{b}^* \cdot \mathbf{c}^* = \frac{4}{\lambda^2}\sin^2\theta$ $\cdot \text{ Special cases:}$

Orthorhombic

$$\mathbf{a}^* = \frac{1}{a}\hat{\mathbf{x}} \quad ; \quad \mathbf{b}^* = \frac{1}{b}\hat{\mathbf{y}} \quad ; \quad \mathbf{c}^* = \frac{1}{c}\hat{\mathbf{z}}$$

$$K^2 = \frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2} \quad \Rightarrow \quad \sin^2\theta = \left(\frac{\lambda}{2}\right)^2 \left(\frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}\right)$$

- <u>Reciprocal lattice</u> $\mathbf{a}^* = \frac{\mathbf{b} \times \mathbf{c}}{V} = \frac{ac\hat{\mathbf{x}}}{(\sqrt{3}/2)a^2c} = \frac{2}{\sqrt{3}a}\hat{\mathbf{x}}$ $\mathbf{b}^* = \frac{1}{a}\left(\frac{\hat{\mathbf{x}}}{\sqrt{3}} + \hat{\mathbf{y}}\right); \quad \mathbf{c}^* = \frac{1}{c}\hat{\mathbf{z}}$ • <u>Laue equation</u> $\sin^2\theta = \frac{\lambda^2}{4}\mathbf{K} \cdot \mathbf{K} = \frac{\lambda^2}{4}\left[h^2\left|\mathbf{a}^*\right|^2 + k^2\left|\mathbf{b}^*\right|^2 + l^2\left|\mathbf{c}^*\right|^2 + 2hk\mathbf{a}^* \cdot \mathbf{b}^*\right]$ $\sin^2\theta = \left[\frac{\lambda^2}{3a^2}\left(h^2 + k^2 + hk\right) + \frac{\lambda^2}{4c^2}l^2\right]$
- orthorhombic: $\begin{aligned}
 \sin^2 \theta &= \left(\frac{\lambda}{2a}\right)^2 (h^2 + k^2 + l^2) \text{ cubic} \\
 \sin^2 \theta &= \lambda^2 \left(\frac{h^2 + k^2}{4a^2} + \frac{l^2}{4c^2}\right) \text{ tetragonal} \\
 &\text{c out of plane of paper} \\
 \alpha &= \beta = 90^\circ \ \gamma = 120^\circ \qquad \mathbf{a} = a(\frac{\sqrt{3}}{2}\hat{\mathbf{x}} - \frac{1}{2}\hat{\mathbf{y}}) \\
 &\mathbf{b} &= a\hat{\mathbf{y}} \\
 &\mathbf{c} &= c\hat{\mathbf{z}} \\
 V &= \mathbf{a} \cdot (\mathbf{b} \times \mathbf{c}) = \frac{\sqrt{3}}{2}a^2c
 \end{aligned}$